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| APPLICATION NO.                         | FILING DATE       | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-------------------|----------------------|---------------------|------------------|
| 10/010,245                              | 12/07/2001        | Paul J. Carter       | P0927C2             | 8478             |
| 9157 7590 02/12/2007<br>GENENTECH, INC. |                   |                      | EXAMINER            |                  |
| 1 DNA WAY                               |                   |                      | GUCKER, STEPHEN     |                  |
| SOUTH SAN FRA                           | ANCISCO, CA 94080 |                      | ART UNIT            | PAPER NUMBER     |
|   |                   |                      | 1649                |                  |
|   |                   |                      |                     |                  |
| SHORTENED STATUTORY PI                  | ERIOD OF RESPONSE | MAIL DATE            | DELIVERY MODE       |                  |
| 3 MONTHS                                |                   | 02/12/2007           | PAPED               |                  |

## Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

|   | Application No.   | Applicant(s)  |  |  |  |  |
|---|---|---------------|--|--|--|--|
| Office Action Commence  | 10/010,245  | CARTER ET AL. |  |  |  |  |
| Office Action Summary   | Examiner  | Art Unit      |  |  |  |  |
|   | Stephen Gucker  | 1649          |  |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  |   |               |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |               |  |  |  |  |
| Status  |   |               |  |  |  |  |
| 1) Responsive to communication(s) filed on 17 No  | ovember 200 <u>6</u> .  |               |  |  |  |  |
| ·— ·  |   |               |  |  |  |  |
| , —   | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is |               |  |  |  |  |
| closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.   |   |               |  |  |  |  |
| Disposition of Claims   |   |               |  |  |  |  |
| 4)⊠ Claim(s) <u>1-23,25,28-39,42-55 and 57-81</u> is/are pending in the application.  |   |               |  |  |  |  |
| 4a) Of the above claim(s) <u>1-23 and 29-38</u> is/are withdrawn from consideration.  |   |               |  |  |  |  |
| 5) Claim(s) is/are allowed.   |   |               |  |  |  |  |
| 6)⊠ Claim(s) <u>25,28,39,42-55,57-81</u> is/are rejected.   |   |               |  |  |  |  |
| 7) Claim(s) is/are objected to.   |   |               |  |  |  |  |
| 8) Claim(s) are subject to restriction and/or   | 8) Claim(s) are subject to restriction and/or election requirement.   |               |  |  |  |  |
| Application Papers  |   |               |  |  |  |  |
| 9)☐ The specification is objected to by the Examiner.   |   |               |  |  |  |  |
| 10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  |   |               |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |   |               |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  |   |               |  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  |   |               |  |  |  |  |
| Priority under 35 U.S.C. § 119  |   |               |  |  |  |  |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:  |   |               |  |  |  |  |
| 1. Certified copies of the priority documents have been received.   |   |               |  |  |  |  |
| 2. Certified copies of the priority documents have been received in Application No  |   |               |  |  |  |  |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage   |   |               |  |  |  |  |
| application from the International Bureau (PCT Rule 17.2(a)).   |   |               |  |  |  |  |
| * See the attached detailed Office action for a list of the certified copies not received.  |   |               |  |  |  |  |
|   |   |               |  |  |  |  |
| Attachment(s)   |   |               |  |  |  |  |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 4) Interview Summary (PTO-413) Paper No(s)/Mail Date  |               |  |  |  |  |
| 3) Information Disclosure Statement(s) (PTO/SB/08)  | 5) 🔲 Notice of Informal F   |               |  |  |  |  |
| Paper No(s)/Mail Date 6) U Other:   |   |               |  |  |  |  |

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## Response to Amendment

- 1. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.
- 2. Any objections or rejections made in a previous Office Action that are not herein reinstated have been withdrawn.
- 3. Claims 25, 28, 39, 42-55, and 57-81 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement for reasons of record and the following. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. The specification does not teach first polypeptides that comprise both protuberances and cavities, nor does it teach second polypeptides that comprise both protuberances and cavities, nor does it teach both simultaneously or concurrently. This is a written description new matter rejection.

Applicant's arguments filed 11/17/06 have been fully considered but they are not persuasive. Applicant argues that support for the generic concept of first polypeptides that comprise both protuberances and cavities and second polypeptides that comprise both protuberances and cavities concurrently is present in the specification at page 8, line 25, page 19, lines 12-24, page 21 line 8 to page 22, line 2, page 63, lines 6-18, and Figures 4 and 5. However, the Examiner does not find that the specification teaches what is now being claimed in the regions of the specification so indicated because these regions exemplify either a protuberance or cavity of a first protein, or a protuberance or

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cavity of a second protein, but not a genus of a protuberance and cavity of both a first and second protein.

- No claim is allowed.
- 5. THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

6. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Technical Center 1600 general number which is (571) 272-1600.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Stephen Gucker whose telephone number is (571) 272-0883. The examiner can normally be reached on Monday to Friday from 0930 to 1800.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Janet Andres, can be reached at (571) 272-0867. The fax phone number for this Group is currently (571)-273-8300.

Stephen Gucker

February 5, 2007

JANET L. ANDRES 5 CRVISORY PATENT EXAMINER